

A GENERAL TREATMENT FOR THE DEDUCTION THEOREM IN OPEN CALCULI*

Arthur BUCHSBAUM and Tarcisio PEQUENO

Abstract

Aiming clear formulations of the *deduction theorem* and precise account of its restrictions, a study concerning the rules introducing connectives related to its behavior is conducted. Special attention is devoted to operators, such as quantifiers and modalities, dealing with *varying objects*. Concepts and techniques able to cope with the subtleties of tracing those objects are introduced. As a result, a classification of calculi in terms of *robustness* to applications of the deduction theorem is given.

1. *Introduction*

The material implication, no matter of having always being one of the most disputed connectives along all the history of Logic, is almost universally present in the myriad of logics nowadays available. In addition to this popularity, it plays a unique role in most of the logics in which it occurs, because it has a direct connection to the very core of the particular relation of logical consequence that the logic intends to define. In fact, it works as a formulation for that notion, expressed in terms of the internal logical formalism. The relation between this internal notion of entailment it represents and the notion of logical consequence the logical calculus as a whole express is usually formulated through the so called *deduction theorem*, which establishes the ways and conditions for their interplay. In general, the way the implication is introduced in a particular calculus is related to the way the rules for quantifiers and other connectives alike, such as modalities, are introduced.

In spite of being such a pervasive connective and a so important one, it can be verified that frequently it is not treated in a way so careful as it deserves, bringing about imprecision in the enunciation of rules and misappli-

* Work supported by the LOGIA project (Protem - CNPq).

cations of theorems related to its definition. The aim of the authors in this paper is precisely the one of clearing out the issue, by making explicit all the elements and relations involved in the formulations of the rules for the introduction of some key connectives that have the power to interfere in the correct enunciation of the deduction theorem applicable in a particular calculus. Among these connectives there are quantifiers and other operators of the kind, such as modalities, whose occurrence in a formula may require some sort of tracing of the *varying objects* that they, whether explicitly or implicitly, may involve, and that may affect the behavior of the terms occurring in the deduction theorem. This concept of a *varying object*, which generalizes the one of a variable, together with two basic relations, named here as *dependency* and *supporting*, to be introduced later in the paper, are the key elements in our strategy to make explicit the mutual relations among the rules introducing those connectives and the way they affect the correct enunciation and applications of the deduction theorem. The treatment given here for the subject is conducted in the style of generalized logic, meaning the introducing of concepts, theorems and procedures with no special regards for particular systems but, instead of it, using them to characterize classes of logics with respect to properties related to these concepts. It turns out, from this strategy, the results being applicable to large classes of logics, whether known or yet to develop. The concepts here presented had been already applied by the authors in the formulations and generalized proofs of metatheorems for families of non classical calculi in [2] and in [3].

It can be observed by a careful examination of the classical logic books that two distinct choices for the introduction of implication and quantifiers have been made standard:

1st) The rule for introducing the implication has no restrictions, but there are constraints for introducing the universal quantifier and other operators of the kind. A calculus adopting this strategy is called *closed* in our context. It is more often used in calculi presented in natural deduction and sequent calculus style. Examples of closed calculi may be found in [1, 4, 5, 6, 9]. However, this closed option may be very cumbersome when used to calculi, presented in axiomatic style, having varying objects other than variables, such as in modal logics.

2nd) The introduction of the implication is done with restrictions, but the introduction of the universal quantifier and other analogous operators is unconditional. This strategy is more often adopted for axiomatic formulations. From here on this kind of calculi are called *open*. Examples may be found in [7,8,10].

It is important to notice that these alternative strategies are not incompatible and have been adopted as variants for the definition of the same logic,

classical logic, for instance. However, the resulting calculi are not strictly equivalent. They are equivalent just in the sense of having the same logical theorems but not in the stronger sense of implementing the same deduction relation. For instance, in an open formalization for the classical logic we have $p(x) \vdash \forall x p(x)$, but the same does not hold for a closed formalization.

Some well known formulations of the deduction theorem, in the context of open calculi, presented in axiomatic style, that can be found in the literature, present some undesirable features, such as:

- explicit use of the concept of demonstration, instead of an idea of a higher level dealing with syntactic consequence;
- lack of an adequate tracing to accompany the use of varying objects in rules of generalization, making it difficult to further applications of the deduction theorem, in a context, after the first time it has been applied.

Furthermore, we consider it essential, for a deeper understanding of this matter, to conduct a survey, followed by a careful study, of the basic properties of the consequence relations involved. We have discovered in this study two relevant relations of consequence, with different tracing systems for varying objects, here called “*dependence*” and “*supporting*”. Under certain special conditions, to be precisely stated later, these relations can be proved to be equivalent, enabling the use of the most convenient properties of both of them.

Below we will give two examples of formulations of the deduction theorem, commonly found in the literature, that suffer from the above-mentioned ills:

- “For the predicate calculus (or the full number-theoretic formal system), if $\Gamma, A \vdash B$ with the free variables held constant for the last assumption formula A , then $\Gamma \vdash A \supset B$.” According to [7, pg. 97].
- “Assume that $\Gamma, A \vdash B$ where, in the deduction, no application of *Gen* to a wf which depends upon A has as its quantified variable a free variable of A . Then $\Gamma \vdash A \supset B$.” According to [8, pg. 63].

In this study we have found formulations for the deduction theorem that overcome these problems in a generalization that covers a broad spectrum of logics.

2. Variation, Dependency and Supporting

From here on, we will consider C an axiomatic calculus, α, β, γ formulas in C , and Γ, ϑ, ζ collections of formulas in C ; the same conventions continue to be valid if the cited signals are used with primes or subscripts.

Pre-Definition 2.1: For each application of a rule of inference r in C , we consider as previously known *the varying objects of this application in C* . If r is a rule in C , whose applications do not have varying objects, we say that r is a *constant rule in C* ; otherwise, we say that r is a *varying rule in C* . We say that o is a *varying object in C* if there is an application of a rule in C such that o is a varying object of this application. We also consider as previously known when a varying object o is *free in a given formula α* . The following additional conditions are to be fulfilled:

- the number of varying objects of each application of a rule in C is finite;
- each varying object of an application of a rule is not free in the consequence of this application.

Example 2.2: In practice, we find the following varying objects:

- variables used in universal quantification;
 - ◆ x is a varying object of the application $\frac{\alpha}{\forall x \alpha}$ of the rule of universal generalization;
- hidden variables used in introducing connectives associated with modalities such as necessity, skeptical plausibility [2, Chapter 5], etc; such variable may be indicated by the sign itself introduced by the rule;
 - ◆ “ \Box ” is the varying object of the rule $\frac{\alpha}{\Box \alpha}$.

Definition 2.3: Let $\mathfrak{D} = \langle \alpha_1, \dots, \alpha_n \rangle$ be a demonstration in C . We say that α_i is *relevant to α_j in \mathfrak{D}* ($i, j \in \{1, \dots, n\}$) if one of the following conditions is fulfilled:

- $i = j$ and α_j is justified in \mathfrak{D} as a premise;
- α_j is justified in \mathfrak{D} as a consequence of an application $\frac{\beta_1, \dots, \beta_p}{\alpha_j}$ of a rule in C and there exists a hypothesis β_k ($k \in \{1, \dots, p\}$) of this application such that α_i is relevant to β_k in \mathfrak{D} .

Definition 2.4: We say that a demonstration \mathfrak{D} in C *depends on* a collection \mathcal{V} of varying objects if \mathcal{V} contains the collection of varying objects o of applications of rules in \mathfrak{D} having a hypothesis in which o is free such

that there is a formula, justified as a premise in \mathfrak{D} , whereon o is free too, relevant to this hypothesis in \mathfrak{D} . If there is a demonstration in C of α from Γ such that it depends on \mathcal{V} , we say that α *depends on \mathcal{V} from Γ in*

C , and we note this by $\Gamma \left| \frac{\mathcal{V}}{C} \alpha \right.$. If $\mathcal{V} = \{o_1, \dots, o_n\}$ and $n \geq 1$, we also note $\Gamma \left| \frac{\mathcal{V}}{C} \alpha \right.$ by $\Gamma \left| \frac{o_1, \dots, o_n}{C} \alpha \right.$. If $\mathcal{V} = \emptyset$, we say that \mathfrak{D} is an *unvarying*

demonstration in C .

If α depends on \emptyset from Γ in C , we say that α is an *unvarying consequence of Γ in C .*

Theorem 2.5: A formula α depends on \mathcal{V} from Γ in C if, and only if, at least one of the following conditions is fulfilled:

- α is an axiom of C ;
- $\alpha \in \Gamma$;
- there is an application $\frac{\alpha_1, \dots, \alpha_n}{\alpha}$ of a rule in C such that

$\Gamma \left| \frac{\mathcal{V}}{C} \alpha_1, \dots, \Gamma \left| \frac{\mathcal{V}}{C} \alpha_n \right. \right.$ and, for every varying object o of this application, such that $o \notin \mathcal{V}$ and for every α_i ($1 \leq i \leq n$), if o is free in α_i , then there is $\Gamma' \subseteq \Gamma$, such that o is not free in Γ' and $\Gamma' \left| \frac{\mathcal{V}}{C} \alpha_i \right.$

If $\mathcal{V} = \emptyset$, we can replace the third clause by the following condition:

- there exists an application of a rule $\frac{\alpha_1, \dots, \alpha_n}{\alpha}$ in C , such that $\Gamma \left| \frac{\emptyset}{C} \alpha_1, \dots, \Gamma \left| \frac{\emptyset}{C} \alpha_n \right. \right.$ and, for every varying object o of this application and for every α_i ($1 \leq i \leq n$), if o is free in α_i , then there exists $\Gamma' \subseteq \Gamma$ such that o is not free in Γ' and $\Gamma' \left| \frac{\emptyset}{C} \alpha_i \right.$

Example 2.6: In an axiomatic open calculus with the rules of universal generalization and of necessity, we have the following examples of dependence:

- $p(x, y) \left| \frac{x, y}{\forall x \forall y p(x, y)} \right.$;
- $p x \left| \frac{x, \Box}{\Box \forall x p x} \right.$.

Theorem 2.7: The following properties are valid for the relation “ $\left| \frac{\mathcal{V}}{C} \right|$ ”:

- (i) if there is a demonstration \mathfrak{D} in C of α from Γ whose collection of varying objects of applications of rules of C in \mathfrak{D} is \mathcal{V} , then $\Gamma \left| \frac{\mathcal{V}}{C} \right| \alpha$;
- (ii) if $\Gamma \left| \frac{\mathcal{V}}{C} \right| \alpha$, then $\Gamma \left| \frac{}{C} \right| \alpha$;
- (iii) if $\Gamma \left| \frac{}{C} \right| \alpha$, then there is a collection \mathcal{V} of varying objects such that $\Gamma \left| \frac{\mathcal{V}}{C} \right| \alpha$;
- (iv) $\left| \frac{}{C} \right| \alpha$ iff $\left| \frac{\emptyset}{C} \right| \alpha$;
- (v) if $\Gamma \left| \frac{\mathcal{V}}{C} \right| \alpha$ and $\mathcal{V} \subseteq \mathcal{V}'$, then $\Gamma \left| \frac{\mathcal{V}'}{C} \right| \alpha$;
- (vi) if $\Gamma \left| \frac{\mathcal{V}}{C} \right| \alpha$ and $\Gamma \subseteq \Gamma'$, then $\Gamma' \left| \frac{\mathcal{V}}{C} \right| \alpha$;
- (vii) if $\Gamma \left| \frac{\mathcal{V}}{C} \right| \alpha$, then there is $\mathcal{V}' \subseteq \mathcal{V}$ such that \mathcal{V}' is finite and $\Gamma \left| \frac{\mathcal{V}'}{C} \right| \alpha$;
- (viii) if $\Gamma \left| \frac{\mathcal{V}}{C} \right| \alpha$, then there is $\Gamma' \subseteq \Gamma$ such that Γ' is finite and $\Gamma' \left| \frac{\mathcal{V}}{C} \right| \alpha$;
- (ix) if $\Gamma \left| \frac{\mathcal{V}}{C} \right| \alpha$ and, for each $o \in \mathcal{W}$, o is not free in Γ ,
then $\Gamma \left| \frac{\mathcal{V} - \mathcal{W}}{C} \right| \alpha$;
- (x) if $\left\{ \begin{array}{l} \Gamma \left| \frac{\mathcal{V}}{C} \right| \alpha \\ \text{for each } o \in \mathcal{W}, \text{ there exists } \Gamma' \subseteq \Gamma \text{ such that } o \text{ is not free in } \Gamma' \\ \text{and } \Gamma' \left| \frac{\mathcal{V}}{C} \right| \alpha \end{array} \right.$
then $\Gamma \left| \frac{\mathcal{V} - \mathcal{W}}{C} \right| \alpha$.

Theorem 2.8: The following assertions are not valid for the relation " $\frac{\mathcal{V}}{C}$ ":

- if $\Gamma \frac{\mathcal{V}_1}{C} \alpha_1, \dots, \Gamma \frac{\mathcal{V}_n}{C} \alpha_n, \{ \alpha_1, \dots, \alpha_n \} \frac{\mathcal{W}}{C} \beta$,
 then $\Gamma \frac{\mathcal{V}_1 \cup \dots \cup \mathcal{V}_n \cup \mathcal{W}}{C} \beta$;
- if $\left\{ \begin{array}{l} * \Gamma \frac{\mathcal{V}}{C} \alpha_1, \dots, \Gamma \frac{\mathcal{V}}{C} \alpha_p \\ * \{ \alpha_1, \dots, \alpha_p \} \frac{O_1, \dots, O_n}{C} \beta \end{array} \right.$
- if $\left\{ \begin{array}{l} * \text{ for all } i \in \{1, \dots, n\} \text{ and for all } j \in \{1, \dots, p\}, \text{ if } o_i \notin \mathcal{V} \text{ and } o_i \text{ is} \\ \text{free in } \alpha_j, \text{ then there exists } \Gamma' \subseteq \Gamma \text{ such that } o_i \text{ is not free in } \Gamma' \\ \text{and } \Gamma' \frac{\mathcal{V}}{C} \alpha_j \end{array} \right.$
- then $\Gamma \frac{\mathcal{V}}{C} \beta$.

Proof:

Let C be a calculus whose axioms are given by the schemas " $\alpha \rightarrow \alpha \vee \beta$ " and " $\forall x \alpha \rightarrow \alpha$ (xlt)", and whose rules of inference are $\frac{\alpha, \alpha \rightarrow \beta}{\beta}$ and $\frac{\alpha}{\forall x \alpha}$, such that the first is a constant rule and the second is a varying rule in which the varying object of each application is the corresponding quantified variable.

We have $\left\{ \begin{array}{l} \{ \forall y Q(y, z), Q(y, z) \rightarrow Ry \} \frac{\emptyset}{C} Ry \\ Ry \frac{\emptyset}{C} \forall z (Ry \vee Sz) \end{array} \right.$, however it is not true

that $\{ \forall y Q(y, z), Q(y, z) \rightarrow Ry \} \frac{\emptyset}{C} \forall z (Ry \vee Sz)$, from which we have a counter-example for the first proposition.

Likewise, we have $\left\{ \begin{array}{l} \left\{ \forall y Q(y, z), \forall y (Q(y, z) \rightarrow Ry) \right\} \Big| \frac{\emptyset}{C} Ry \\ Ry \Big| \frac{y}{C} \forall y \forall z (Ry \vee Sz) \end{array} \right\},$ nevertheless

it is not true that $\left\{ \forall y Q(y, z), \forall y Q(y, z) \rightarrow Ry \right\} \Big| \frac{\emptyset}{C} \forall y \forall z (Ry \vee Sz),$ from

which we have a counter-example for the second proposition.

•

Definition 2.9: We say a demonstration \mathfrak{D} in C is *supported* by a collection \mathcal{V} of varying objects if \mathcal{V} contains the collection of varying objects of applications of rules in \mathfrak{D} such that, for each conclusion of such applications, there exists a premise relevant to it in \mathfrak{D} . If there exists a demonstration in C of α from Γ such that it is supported by \mathcal{V} , we say that

α is *supported by \mathcal{V} from Γ in C* , and we note this by $\Gamma \Big\| \frac{\mathcal{V}}{C} \alpha$. If $\mathcal{V} = \{o_1, \dots, o_n\}$ and $n \geq 1$, we also note $\Gamma \Big\| \frac{\mathcal{V}}{C} \alpha$ by $\Gamma \Big\| \frac{o_1, \dots, o_n}{C} \alpha$. If $\mathcal{V} = \emptyset$,

we say that \mathfrak{D} is a *stable demonstration* in C . If α is supported by \emptyset from Γ in C , we say that α is a *stable consequence* of Γ in C .

Theorem 2.10: If \mathcal{V} is a collection of varying objects in C , α is supported by \mathcal{V} from Γ in C if, and only if, at least one of the following clauses is fulfilled:

- α is an axiom of C ;
- $\alpha \in \Gamma$;
- there exists an application $\frac{\alpha_1, \dots, \alpha_n}{\alpha}$ of a rule in C such that $\Gamma \Big\| \frac{\mathcal{V}}{C} \alpha_1, \dots, \Gamma \Big\| \frac{\mathcal{V}}{C} \alpha_n$ and, if there is a varying object o of this application such that $o \notin \mathcal{V}$, then $\Big| \frac{}{C} \alpha_1, \dots, \Big| \frac{}{C} \alpha_n$.

If $\mathcal{V} = \emptyset$, we can replace the third clause above by the following condition:

- there exists an application $\frac{\alpha_1, \dots, \alpha_n}{\alpha}$ of a rule in C such that $\Gamma \Big\| \frac{\mathcal{V}}{C} \alpha_1, \dots, \Gamma \Big\| \frac{\mathcal{V}}{C} \alpha_n$ and, if there is a varying object o of this application, then $\Big| \frac{}{C} \alpha_1, \dots, \Big| \frac{}{C} \alpha_n$.

Example 2.11: In an open axiomatic calculus with the rules of generalization and of necessity, we have the following example of supporting:

$$\bullet \Diamond p x \left\| \frac{\Box, y}{\Box \forall y \Diamond p x} \right.$$

Theorem 2.12: The following properties are valid for the relation “ $\left\| \frac{\mathcal{V}}{C} \right.$ ”:

- (i) if there exists a demonstration \mathfrak{D} in C of α from Γ whose collection of varying objects of applications of rules of C in \mathfrak{D} is \mathcal{V} , then

$$\Gamma \left\| \frac{\mathcal{V}}{C} \right. \alpha;$$

- (ii) if $\Gamma \left\| \frac{\mathcal{V}}{C} \right. \alpha$, then $\Gamma \left| \frac{\mathcal{V}}{C} \right. \alpha$;

- (iii) if $\Gamma \left| \frac{\mathcal{V}}{C} \right. \alpha$, then there is a collection \mathcal{V} of varying objects such that

$$\Gamma \left\| \frac{\mathcal{V}}{C} \right. \alpha;$$

- (iv) $\left| \frac{\mathcal{V}}{C} \right. \alpha$ iff $\left\| \frac{\emptyset}{C} \right. \alpha$;

- (v) if $\Gamma \left\| \frac{\mathcal{V}}{C} \right. \alpha$ and $\mathcal{V} \subseteq \mathcal{V}'$, then $\Gamma \left\| \frac{\mathcal{V}'}{C} \right. \alpha$;

- (vi) if $\Gamma \left\| \frac{\mathcal{V}}{C} \right. \alpha$ and $\Gamma \subseteq \Gamma'$, then $\Gamma' \left\| \frac{\mathcal{V}}{C} \right. \alpha$;

- (vii) if $\Gamma \left\| \frac{\mathcal{V}}{C} \right. \alpha$, then there exists $\mathcal{V}' \subseteq \mathcal{V}$ such that \mathcal{V}' is finite and

$$\Gamma \left\| \frac{\mathcal{V}'}{C} \right. \alpha;$$

- (viii) if $\Gamma \left\| \frac{\mathcal{V}}{C} \right. \alpha$, then there exists $\Gamma' \subseteq \Gamma$ such that Γ' is finite and

$$\Gamma' \left\| \frac{\mathcal{V}}{C} \right. \alpha;$$

$$(ix) \quad \text{if } \Gamma \left\| \frac{\mathcal{V}_1}{C} \alpha_1, \dots, \Gamma \left\| \frac{\mathcal{V}_n}{C} \alpha_n, \{ \alpha_1, \dots, \alpha_n \} \left\| \frac{\mathcal{W}}{C} \beta, \right. \right. \\ \text{then } \Gamma \left\| \frac{\mathcal{V}_1 \cup \dots \cup \mathcal{V}_n \cup \mathcal{W}}{C} \beta.$$

The theorem below describes a way of expansion for the relation “ $\left\| \frac{\mathcal{V}}{C} \right\|$ ” in a generic calculus.

$$\text{Theorem 2.13: if } \Gamma \left\| \frac{\mathcal{V}_1}{C} \alpha_1, \dots, \Gamma \left\| \frac{\mathcal{V}_n}{C} \alpha_n, \{ \alpha_1, \dots, \alpha_n \} \left\| \frac{\mathcal{W}}{C} \beta, \right. \right. \\ \text{then } \Gamma \left\| \frac{\mathcal{V}_1 \cup \dots \cup \mathcal{V}_n \cup \mathcal{W}}{C} \beta.$$

$$\text{Lemma 2.14: If } \Gamma \left\| \frac{\mathcal{V}}{C} \alpha, \text{ then } \Gamma \left\| \frac{\mathcal{V}}{C} \alpha.$$

Proof:

If α is an axiom of C or $\alpha \in \Gamma$, there is nothing to prove.

Let us suppose then there is an application $\frac{\alpha_1, \dots, \alpha_n}{\alpha}$ of a rule of C fulfilling the conditions of theorem 2.10. By induction hypothesis, we have $\Gamma \left\| \frac{\mathcal{V}}{C} \alpha_1, \dots, \Gamma \left\| \frac{\mathcal{V}}{C} \alpha_n$. Given a varying object o of this application such that $o \notin \mathcal{V}$, we have $\left| \frac{}{C} \alpha_1, \dots, \left| \frac{}{C} \alpha_n \right.$, and hence $\left| \frac{}{C} \alpha \right.$, which is, according to theorem 2.7, a sufficient condition for concluding that $\Gamma \left\| \frac{\mathcal{V}}{C} \alpha$.

•

3. Special Axiomatic Calculi

Definition 3.1: A calculus C is said to be *partial stable* if the following conditions are valid:

- each varying rule of C is unary, its domain is the collection of all formulas in C , and each of its applications has exactly one varying object;

- for each application $\frac{\alpha'}{\alpha}$ of a varying rule in C , if its varying object is not free in α' , then $\alpha' \parallel \frac{\emptyset}{C} \alpha$;
- for each application $\frac{\alpha_1, \dots, \alpha_n}{\alpha}$ of a constant rule in C , if $\alpha'_1, \dots, \alpha'_n, \alpha'$ are respectively conclusions of applications of a varying rule over $\alpha_1, \dots, \alpha_n, \alpha$ using the same varying object, then $\alpha'_1, \dots, \alpha'_n \parallel \frac{\emptyset}{C} \alpha'$.

Lemma 3.2: If C is partial stable and $\Gamma \parallel \frac{\emptyset}{C} \alpha$, then, for each application $\frac{\alpha}{\alpha'}$ of a varying rule in C , if its varying object is not free in Γ , $\Gamma \parallel \frac{\emptyset}{C} \alpha'$.

Proof: it is similar to the proof of lemma 3.11.

Theorem 3.3: If C is partial stable, then $\Gamma \parallel \frac{\emptyset}{C} \alpha$ iff $\Gamma \parallel \frac{\emptyset}{C} \alpha$.

Proof: it is similar to the proof of theorem 3.12.

Theorem 3.4: If C is partial stable, then “ $\parallel \frac{\emptyset}{C}$ ” has the following additional property:

- if $\left\{ \begin{array}{l} * \Gamma \parallel \frac{\emptyset}{C} \alpha_1, \dots, \Gamma \parallel \frac{\emptyset}{C} \alpha_p \\ * \{ \alpha_1, \dots, \alpha_p \} \parallel \frac{o_1, \dots, o_n}{C} \beta \end{array} \right.$
- if $\left\{ \begin{array}{l} * \text{for every } i \in \{1, \dots, n\} \text{ and for every } j \in \{1, \dots, p\}, \text{ if } o_i \text{ is} \\ \text{free in } \alpha_j, \text{ then exists } \Gamma' \subseteq \Gamma \text{ such that } o_i \text{ is not free in } \Gamma' \\ \text{and } \Gamma' \parallel \frac{\emptyset}{C} \alpha_j \end{array} \right.$

then $\Gamma \parallel_C^{\emptyset} \beta$.

Proof: It is similar to the proof of theorem 3.13.

Corollary 3.5: If C is partial stable, then the following additional properties are valid for the relation “ \parallel_C^{\emptyset} ”:

- if $\Gamma \parallel_C^{\emptyset} \alpha_1, \dots, \Gamma \parallel_C^{\emptyset} \alpha_p, \{\alpha_1, \dots, \alpha_p\} \parallel_C^{\emptyset} \beta$, then $\Gamma \parallel_C^{\emptyset} \beta$;
 - $$\left\{ \begin{array}{l} * \Gamma \parallel_C^{\emptyset} \alpha_1, \dots, \Gamma \parallel_C^{\emptyset} \alpha_p \\ * \{\alpha_1, \dots, \alpha_p\} \parallel_{\frac{O_1, \dots, O_n}{C}}^{\emptyset} \beta \end{array} \right.$$
 - if $\left\{ \begin{array}{l} * \text{for every } i \in \{1, \dots, n\} \text{ and for every } j \in \{1, \dots, p\}, \text{ if } o_i \text{ is} \\ \text{free in } \alpha_j, \text{ then exists } \Gamma' \subseteq \Gamma \text{ such that } o_i \text{ is not free in } \Gamma' \\ \text{and } \Gamma' \parallel_C^{\emptyset} \alpha_j \end{array} \right.$
- then $\Gamma \parallel_C^{\emptyset} \beta$.

Proof: it suffices to use theorem 3.3, the ninth proposition of theorem 2.12 and theorem 3.4.

Definition 3.6: A calculus C is said to be *partial strong* if the following clauses are satisfied:

- (i) $\frac{}{C} \alpha \rightarrow \alpha$;
- (ii) $\beta \parallel_C^{\emptyset} \alpha \rightarrow \beta$;
- (iii) for each application $\frac{\beta_1, \dots, \beta_n}{\beta}$ of a constant rule of C ,

$$\{\alpha \rightarrow \beta_1, \dots, \alpha \rightarrow \beta_n\} \parallel_C^{\emptyset} \alpha \rightarrow \beta.$$

Theorem 3.7: The following propositions are equivalent:

- (i) C is a partial strong calculus;
- (ii) for any Γ and α , where Γ is a collection of formulas in C and α is a

$$\text{formula in } C, \Gamma \cup \{\alpha\} \left\| \frac{\emptyset}{C} \beta \text{ implies } \Gamma \left\| \frac{\emptyset}{C} \alpha \rightarrow \beta. \right.$$

Proof: it is similar to the proof of theorem 3.16.

Definition 3.8: A calculus is said to be *partial strong stable* if it is partial strong and partial stable.

Theorem 3.9: If C is a partial strong stable calculus, then $\Gamma \cup \{\alpha\} \left\| \frac{\emptyset}{C} \beta$ implies $\Gamma \left\| \frac{\emptyset}{C} \alpha \rightarrow \beta.$

Proof: it suffices to use theorems 3.3 and 3.7.

Definition 3.10: A partial stable calculus C is said to be *stable* if it has the following additional property:

- for each application $\frac{\beta}{\alpha}$ of a varying rule in C , where α is its varying object, if β' and α' are respectively conclusions of applications of a varying rule in C over β and α using the same varying object, then

$$\beta' \left\| \frac{\alpha}{C} \alpha'.$$

Lemma 3.11: If C is stable and $\Gamma \left\| \frac{\alpha}{C} \alpha$, then, for each application $\frac{\alpha}{\alpha'}$ of a varying rule in C , such that its varying object is not free in Γ , $\Gamma \left\| \frac{\alpha}{C} \alpha'.$

Proof:

If α is an axiom of C , then $\left\| \frac{\alpha}{C} \alpha$, and so $\left\| \frac{\alpha}{C} \alpha'$, and therefore $\Gamma \left\| \frac{\alpha}{C} \alpha'.$

If $\alpha \in \Gamma$, then the varying object of the application considered is not free in α , and so, as C is stable, $\alpha \left\| \frac{\alpha}{C} \alpha'$, and therefore $\Gamma \left\| \frac{\alpha}{C} \alpha'.$

If there is an application of a constant rule $\frac{\alpha_1, \dots, \alpha_n}{\alpha}$ in C such that

$\Gamma \left\| \frac{\alpha}{C} \alpha_1, \dots, \Gamma \left\| \frac{\alpha}{C} \alpha_n$, we have, by induction hypothesis, that

$\Gamma \left\| \frac{\mathcal{V}}{C} \alpha'_1, \dots, \Gamma \left\| \frac{\mathcal{V}}{C} \alpha'_n \right\|$, where $\alpha'_1, \dots, \alpha'_n$ are respectively consequences of $\alpha_1, \dots, \alpha_n$ by the same rule in which α' is a consequence of α . As C is

stable, it follows that $\alpha'_1, \dots, \alpha'_n \left\| \frac{\emptyset}{C} \alpha' \right\|$, and hence $\Gamma \left\| \frac{\mathcal{V}}{C} \alpha' \right\|$.

Let us suppose, then, that there exists an application $\frac{\beta}{\alpha}$ of a varying rule of C such that $\Gamma \left\| \frac{\mathcal{V}}{C} \beta \right\|$, and, if its varying object does not belong to \mathcal{V} , then $\left| \frac{}{C} \alpha \right|$. Let o be the varying object of $\frac{\beta}{\alpha}$. If $o \notin \mathcal{V}$, $\left| \frac{}{C} \alpha \right|$, hence $\left| \frac{}{C} \alpha' \right|$, and therefore $\Gamma \left\| \frac{\mathcal{V}}{C} \alpha' \right\|$. Consider β' as consequence of β by the same rule in which α' is consequence of α . If $o \in \mathcal{V}$, as $\beta \left\| \frac{o}{C} \beta' \right\|$, $\Gamma \left\| \frac{\mathcal{V}}{C} \beta' \right\|$, and so, as C is stable, we have $\beta' \left\| \frac{o}{C} \alpha' \right\|$, and therefore $\Gamma \left\| \frac{\mathcal{V}}{C} \alpha' \right\|$.

•

Theorem 3.12: If C is stable, then $\Gamma \left| \frac{\mathcal{V}}{C} \alpha \right|$ iff $\Gamma \left\| \frac{\mathcal{V}}{C} \alpha \right\|$.

Proof:

By the lemma 2.14, we have $\Gamma \left\| \frac{\mathcal{V}}{C} \alpha \right\|$ implies $\Gamma \left| \frac{\mathcal{V}}{C} \alpha \right|$, so it remains to prove the converse.

Let us suppose that $\Gamma \left| \frac{\mathcal{V}}{C} \alpha \right|$.

Let \mathfrak{D} be a demonstration in C of α from Γ depending on \mathcal{V} , β the first occurrence of a formula in \mathfrak{D} justified as a consequence of an application of a varying rule $\frac{\beta'}{\beta}$ such that its varying object does not belong to \mathcal{V} and some premise is relevant to β' in \mathfrak{D} . Let o be the varying object of this application.

If o is not free in β' , then, as C is stable, we have $\beta' \left\| \frac{\emptyset}{C} \beta \right\|$, and hence, as the considered occurrence of β' precedes β in \mathfrak{D} , we have $\Gamma \left\| \frac{\mathcal{V}}{C} \beta' \right\|$, and

therefore, by the transitivity of " $\frac{\mathcal{V}}{C}$ ", $\Gamma \parallel \frac{\mathcal{V}}{C} \beta$.

If o is free in β' , then, as $o \notin \mathcal{V}$, there exists $\Gamma' \subseteq \Gamma$, such that o is not free in Γ' and $\Gamma' \parallel \frac{\mathcal{V}}{C} \beta'$, and hence, as C is stable and in accordance with lemma 3.11, $\Gamma' \parallel \frac{\mathcal{V}}{C} \beta$, and therefore $\Gamma \parallel \frac{\mathcal{V}}{C} \beta$.

In any case, there is a demonstration \mathfrak{D}_β in C of β from Γ supported by \mathcal{V} . Replacing the considered occurrence of β in \mathfrak{D} by \mathfrak{D}_β , we obtain, given \mathfrak{D} , a demonstration in C of α from Γ , in which the number of applications of varying rules, whose varying objects do not belong to \mathcal{V} and whose hypotheses have premises relevant to them in the new demonstration, has decreased one unit. Repeating the same process a finite number of times, we obtain a demonstration in C of α from Γ supported by \mathcal{V} , or rather, $\Gamma \parallel \frac{\mathcal{V}}{C} \alpha$.

•

Theorem 3.13: If C is stable, then " $\frac{\mathcal{V}}{C}$ " has the following additional property:

- $$\left\{ \begin{array}{l} * \Gamma \parallel \frac{\mathcal{V}}{C} \alpha_1, \dots, \Gamma \parallel \frac{\mathcal{V}}{C} \alpha_p \\ * \{ \alpha_1, \dots, \alpha_p \} \parallel \frac{o_1, \dots, o_n}{C} \beta \end{array} \right.$$
 - if $\left\{ \begin{array}{l} * \text{for every } i \in \{1, \dots, n\} \text{ and for every } j \in \{1, \dots, p\}, \text{ if } o_i \notin \mathcal{V} \text{ and } o_i \text{ is free in } \alpha_j, \text{ then exists } \Gamma' \subseteq \Gamma \text{ such that } o_i \text{ is not free in } \Gamma' \\ \text{and } \Gamma' \parallel \frac{\mathcal{V}}{C} \alpha_j \end{array} \right.$
- then $\Gamma \parallel \frac{\mathcal{V}}{C} \beta$.

Proof:

Let $\mathcal{D}_1, \dots, \mathcal{D}_p$ be respectively demonstrations in C of $\alpha_1, \dots, \alpha_p$ from Γ supported by \mathcal{V} , and let \mathcal{E} be a demonstration of β from $\{\alpha_1, \dots, \alpha_p\}$ supported by $\{o_1, \dots, o_n\}$. Concatenating $\mathcal{D}_1, \dots, \mathcal{D}_p, \mathcal{E}$, we obtain a demonstration \mathcal{D} of β in C from Γ .

Let γ be the first occurrence of a formula in \mathcal{D} justified as a consequence of an application $\frac{\gamma'}{\gamma}$ of a varying rule, such that its varying object does not

belong to \mathcal{V} and some element of Γ is relevant to γ' in \mathcal{D} . As $\mathcal{D}_1, \dots, \mathcal{D}_p$ are demonstrations supported by \mathcal{V} , we have the considered occurrence of γ' appears in \mathcal{E} , and hence, considering o the varying object of the application, we get $o \in \{o_1, \dots, o_n\}$.

Let ϑ and ζ be defined by
$$\begin{cases} \vartheta = \{\alpha_j / j \in \{1, \dots, p\} \text{ and } o \text{ is free in } \alpha_j\} \\ \zeta = \{\alpha_j / j \in \{1, \dots, p\} \text{ and } o \text{ is not free in } \alpha_j\} \end{cases}$$

It is easy to verify that there exists a finite Γ' , such that $\Gamma' \subseteq \Gamma$, o is not free in Γ' and, for every $\delta \in \vartheta$, $\Gamma' \Vdash_C \delta$. Therefore, by the construction of ζ , $\Gamma' \cup \zeta \Vdash_C \alpha_1, \dots, \Gamma' \cup \zeta \Vdash_C \alpha_p$, and o is not free in $\Gamma' \cup \zeta$.

As the considered occurrence of γ' precedes γ in \mathcal{D} , we have

$\{\alpha_1, \dots, \alpha_p\} \Vdash_C \gamma'$, and hence, by the transitivity of " \Vdash_C ", we get $\Gamma' \cup \zeta \Vdash_C \gamma'$, and therefore, by lemma 3.11, $\Gamma' \cup \zeta \Vdash_C \gamma$.

For every $\delta \in \Gamma' \cup \zeta$, we have $\Gamma \Vdash_C \delta$, and hence, once again due to the transitivity of " \Vdash_C ", $\Gamma \Vdash_C \gamma$. Or rather, there exists a demonstration \mathcal{D}_γ

in C of γ from Γ supported by \mathcal{V} . Replacing the considered occurrence of γ in \mathcal{D} by \mathcal{D}_γ , we have a new demonstration \mathcal{D}' in C of β from Γ , in which the number of applications of varying rules, whose varying objects do not belong to \mathcal{V} and each hypothesis has some premise relevant to it in \mathcal{D}' , has decreased one unit. Repeating the same process a finite number of times, we obtain a demonstration in C of β from Γ supported by \mathcal{V} , or rather,

$\Gamma \Vdash_C \beta$.

.

Corollary 3.14: If C is stable, then the following additional properties are

valid for the relation “ $\frac{\mathcal{V}}{C}$ ”:

- if $\Gamma \frac{\mathcal{V}_1}{C} \alpha_1, \dots, \Gamma \frac{\mathcal{V}_p}{C} \alpha_p, \{\alpha_1, \dots, \alpha_p\} \frac{\mathcal{W}}{C} \beta$,
 then $\Gamma \frac{\mathcal{V}_1 \cup \dots \cup \mathcal{V}_p \cup \mathcal{W}}{C} \beta$;
- if $\left\{ \begin{array}{l} * \Gamma \frac{\mathcal{V}}{C} \alpha_1, \dots, \Gamma \frac{\mathcal{V}}{C} \alpha_p \\ * \{\alpha_1, \dots, \alpha_p\} \frac{o_1, \dots, o_n}{C} \beta \end{array} \right.$
- if $\left\{ \begin{array}{l} * \text{for every } i \in \{1, \dots, n\} \text{ and for every } j \in \{1, \dots, p\}, \text{ if } o_i \notin \mathcal{V} \text{ and} \\ \quad o_i \text{ is free in } \alpha_j, \text{ then exists } \Gamma' \subseteq \Gamma \text{ such that } o_i \text{ is not free in } \Gamma' \\ \text{and } \Gamma' \frac{\mathcal{V}}{C} \alpha_j \end{array} \right.$
- then $\Gamma \frac{\mathcal{V}}{C} \beta$.

Proof: it suffices to use theorem 3.12, the ninth proposition of theorem 2.12 and theorem 3.13.

Definition 3.15: A partial strong calculus C is said to be *strong* if it has the following additional property:

- for each application $\frac{\beta_1, \dots, \beta_n}{\beta}$ of a varying rule of C ,
 $\{\alpha \rightarrow \beta_1, \dots, \alpha \rightarrow \beta_n\} \parallel \frac{\mathcal{V}}{C} \frac{\beta}{\alpha \rightarrow \beta}$, where \mathcal{V} is the collection of varying
 objects of the application and no element of \mathcal{V} is free in α .

Theorem 3.16: The following propositions are equivalent:

- (i) C is a strong calculus;

- (ii) for any Γ and α , if $\left\{ \begin{array}{l} \Gamma \cup \{\alpha\} \Vdash_C^{\mathcal{V}} \beta \\ \text{for every } o \in \mathcal{V}, o \text{ is not free in } \alpha \end{array} \right.$,
 then $\Gamma \Vdash_C^{\mathcal{V}} \alpha \rightarrow \beta$.

Proof of (i) implies (ii):

Let us suppose that C is a strong calculus, $\Gamma \cup \{\alpha\} \Vdash_C^{\mathcal{V}} \beta$ and, for each $o \in \mathcal{V}$, o is not free in α .

If β is an axiom of C , then $\vdash_C \beta$, and hence, according to clause (ii) of definition 3.6, $\vdash_C \alpha \rightarrow \beta$, and therefore $\Gamma \Vdash_C^{\mathcal{V}} \alpha \rightarrow \beta$.

If $\beta \in \Gamma$, then $\Gamma \Vdash_C^{\mathcal{V}} \beta$, and hence, according to clause (ii) of definition 3.6, $\Gamma \Vdash_C^{\mathcal{V}} \alpha \rightarrow \beta$.

If $\beta = \alpha$, then, according to clause (i) of definition 3.6, $\vdash_C \alpha \rightarrow \alpha$, and therefore $\Gamma \Vdash_C^{\mathcal{V}} \alpha \rightarrow \beta$.

If there is an application $\frac{\beta_1, \dots, \beta_n}{\beta}$ of a rule of C such that $\Gamma \cup \{\alpha\} \Vdash_C^{\mathcal{V}} \beta_1, \dots, \Gamma \cup \{\alpha\} \Vdash_C^{\mathcal{V}} \beta_n$, we have, by induction hypothesis, $\Gamma \Vdash_C^{\mathcal{V}} \alpha \rightarrow \beta_1, \dots, \Gamma \Vdash_C^{\mathcal{V}} \alpha \rightarrow \beta_n$. If there is a varying object of this

application that does not belong to \mathcal{V} , then, according to theorem 2.10,

$\vdash_C \beta$, and hence, once again by clause (ii) of definition 3.6, $\vdash_C \alpha \rightarrow \beta$,

and therefore $\Gamma \Vdash_C^{\mathcal{V}} \alpha \rightarrow \beta$. If every varying object of this application belongs to \mathcal{V} , then, as C is strong, we conclude that $\Gamma \Vdash_C^{\mathcal{V}} \alpha \rightarrow \beta$.

Proof of (ii) implies (i):

Let us suppose (ii).

As $\alpha \vdash_C \alpha$, we have $\vdash_C \alpha \rightarrow \alpha$.

As $\{\beta, \alpha\} \Vdash_C^{\emptyset} \beta$, we get $\beta \Vdash_C^{\emptyset} \alpha \rightarrow \beta$.

Finally, let $\frac{\beta_1, \dots, \beta_n}{\beta}$ be an application of a rule of C whose collection of varying objects is \mathcal{V} , and α a formula of C where no element of \mathcal{V} is free.

We have $\{\alpha \rightarrow \beta_1, \dots, \alpha \rightarrow \beta_n, \alpha\} \parallel_C^{\emptyset} \beta_1, \dots, \{\alpha \rightarrow \beta_1, \dots, \alpha \rightarrow \beta_n, \alpha\} \parallel_C^{\emptyset} \beta_n$, and hence, as $\{\beta_1, \dots, \beta_n\} \parallel_C^{\mathcal{V}} \beta$, we have $\{\alpha \rightarrow \beta_1, \dots, \alpha \rightarrow \beta_n, \alpha\} \parallel_C^{\mathcal{V}} \beta$, and therefore $\{\alpha \rightarrow \beta_1, \dots, \alpha \rightarrow \beta_n\} \parallel_C^{\mathcal{V}} \alpha \rightarrow \beta$.

•

Definition 3.17: A calculus is said to be *strong stable* if it is strong and stable.

Theorem 3.18: If $\begin{cases} C \text{ is a strong stable calculus,} \\ \Gamma \cup \{\alpha\} \parallel_C^{\mathcal{V}} \beta, \\ \text{for every } o \in \mathcal{V}, o \text{ is not free in } \alpha, \end{cases} \quad \text{then } \Gamma \parallel_C^{\mathcal{V}} \alpha \rightarrow \beta.$

Proof: it suffices to use theorems 3.16 and 3.12.

Definition 3.19: We note by $C[\Gamma]$ the calculus obtained from C with the addition of Γ as a postulate. If Γ is a unitary conjunct of the form $\{\alpha\}$, then we also note $C[\Gamma]$ by $C[\alpha]$.

Theorem 3.20: The following assertions are valid for $C[\Gamma]$:

- $\Gamma' \cup \Gamma \parallel_C^{\overline{}} \alpha$ iff $\Gamma' \parallel_{C[\Gamma]}^{\overline{}} \alpha$;
- $\Gamma' \cup \Gamma \parallel_C^{\emptyset} \alpha$ iff $\Gamma' \parallel_{C[\Gamma]}^{\emptyset} \alpha$;
- if $\Gamma' \cup \Gamma \parallel_C^{\mathcal{V}} \alpha$, then $\Gamma' \parallel_{C[\Gamma]}^{\mathcal{V}} \alpha$;
- if $\Gamma' \parallel_{C[\Gamma]}^{\mathcal{V}} \alpha$, then there exists $\mathcal{W} \supseteq \mathcal{V}$ such that $\Gamma' \cup \Gamma \parallel_C^{\mathcal{W}} \alpha$;
- if $\Gamma' \cup \Gamma \parallel_C^{\mathcal{V}} \alpha$, then $\Gamma' \parallel_{C[\Gamma]}^{\mathcal{V}} \alpha$;

- if $\Gamma' \left\| \frac{\mathcal{V}}{C[\Gamma]} \alpha \right\|$, then there exists $\mathcal{W} \supseteq \mathcal{V}$ such that $\Gamma' \cup \Gamma \left\| \frac{\mathcal{W}}{C} \alpha \right\|$;
- if C is partial stable, then $C[\Gamma]$ is partial stable;
- if C is partial strong, then $C[\Gamma]$ is partial strong;
- if C is partial strong stable, then $C[\Gamma]$ is partial strong stable;
- if C is stable, then $C[\Gamma]$ is stable;
- if C is strong, then $C[\Gamma]$ is strong;
- if C is strong stable, then $C[\Gamma]$ is strong stable.

Theorem 3.21: $C[\Gamma]$ has the following properties for the introduction of implication:

- if C is partial strong and $\Gamma' \cup \{\alpha\} \left\| \frac{\emptyset}{C[\Gamma]} \beta \right\|$, then $\Gamma' \left\| \frac{\emptyset}{C[\Gamma]} \alpha \rightarrow \beta \right\|$;
- if C is partial strong stable and $\Gamma' \cup \{\alpha\} \left| \frac{\emptyset}{C[\Gamma]} \beta \right|$, then $\Gamma' \left| \frac{\emptyset}{C[\Gamma]} \alpha \rightarrow \beta \right|$;
- if C is strong and $\left\{ \begin{array}{l} \Gamma' \cup \{\alpha\} \left\| \frac{\mathcal{V}}{C[\Gamma]} \beta, \\ \text{for every } o \in \mathcal{V}, o \text{ is not free in } \alpha, \end{array} \right.$
then $\Gamma' \left\| \frac{\mathcal{V}}{C[\Gamma]} \alpha \rightarrow \beta \right\|$;
- if C is strong stable and $\left\{ \begin{array}{l} \Gamma' \cup \{\alpha\} \left| \frac{\mathcal{V}}{C[\Gamma]} \beta, \\ \text{for every } o \in \mathcal{V}, o \text{ is not free in } \alpha, \end{array} \right.$
then $\Gamma' \left| \frac{\mathcal{V}}{C[\Gamma]} \alpha \rightarrow \beta \right|$.

4. Conclusions

We have found optimized formulations for the deduction theorem for a broad class of open axiomatic calculi, which overcome all the problems that we pointed out in the beginning, within every spectrum of possible restrictions in their deductive functioning—from the partial stable and partial strong to the strong stable calculi.

The weakest formulation of the deduction theorem belongs among the partial strong stable calculi. An example of a calculus of this type can be seen in [2], pg. 133, which is a translation to a first order language of the *Logic of Skeptical Deduction*, defined in the same work, in Chapter 5. This calculus was essential for the proof of completeness of an axiomatic calculus with respect to the semantics of this logic.

The strongest formulation of the same theorem belongs among the strong stable calculi, which constitute the great majority of open axiomatic calculi, concerning the material implication, found in the literature.

Our initial motivation was the search for a conceptual basis for an abstract proof of completeness regarding generic calculi, which was done in [2], pgs. 72–88. A concise exposition of this proof will be the subject of a future paper.

Departamento de Informática e de Estatística,
Florianópolis/SC – Brazil,
Email: arthur@inf.ufsc.br

Laboratório de Inteligência Artificial,
Fortaleza/CE – Brazil,
Email: tarcisio@lia.ufc.br

REFERENCES

- [1] Bell, J. L. & Machover, M., *A Course in Mathematical Logic*, North-Holland Publishing Company, 1977.
- [2] Buchsbaum, Arthur, *Lógicas da Inconsistência e da Incompletude: Semântica e Axiomática*, PhD Thesis, Pontifícia Universidade Católica do Rio de Janeiro, 1995.
- [3] Buchsbaum, Arthur & Pequeno, Tarcisio, *A Logic for Careful Non-monotonic Reasoning*, to appear.
- [4] van Dalen, D., *Logic and Structure*, Springer-Verlag, 1989.
- [5] Ebbinghaus, H. D. & Flum, J. & Thomas, W., *Mathematical Logic*, Springer-Verlag, 1984.
- [6] Enderton, Herbert B., *A Mathematical Introduction to Logic*, Academic Press, 1972.
- [7] Kleene, Stephen Cole, *Introduction to Metamathematics*, North-Holland Publishing Company, 1971.
- [8] Mendelson, Elliot, *Introduction to Mathematical Logic*, D. Van Nostrand Company, 1979.
- [9] Prawitz, Dag, *Natural Deduction – A Proof-Theoretical Study*, Almqvist & Wiksell, 1965.
- [10] Shoenfield, J. R., *Mathematical Logic*, Addison-Wesley Publishing Company, 1967.